

Headline: List of securities which fulfilled the market surveillance criteria

Security Symbol: CHAYO, DOD, KWM, MAI, NCAP, NRF, SET, TAPAC

Announcement Details

Level 1 : Cash Balance			
Security	Symbol	Start date	End date
CHAYO GROUP PUBLIC COMPANY LIMITED	CHAYO	22-Mar-2021	30-Apr-2021
Warrant of CHAYO GROUP PUBLIC COMPANY LIMITED No. 1	CHAYO-W1	22-Mar-2021	30-Apr-2021
DOD BIOTECH PUBLIC COMPANY LIMITED	DOD	22-Mar-2021	30-Apr-2021
Warrant of DOD BIOTECH PUBLIC COMPANY LIMITED No. 1	DOD-W1	22-Mar-2021	30-Apr-2021
K. W. METAL WORK PUBLIC COMPANY LIMITED	KWM	22-Mar-2021	30-Apr-2021
TAPACO PUBLIC COMPANY LIMITED	TAPAC	22-Mar-2021	09-Apr-2021
Warrant of TAPACO PUBLIC COMPANY LIMITED No. 4	TAPAC-W4	22-Mar-2021	09-Apr-2021
Extended Period			
NEXT CAPITAL PUBLIC COMPANY LIMITED	NCAP	22-Mar-2021	09-Apr-2021
NR INSTANT PRODUCE PUBLIC COMPANY LIMITED	NRF	22-Mar-2021	09-Apr-2021
Level 2 : Excluded from credit limit and Cash Balance			
Security	Symbol	Start date	End date
-	-	-	-
Extended Period			
-	-	-	-
Level 3 : Prohibit Net settlement, Excluded from credit limit and Cash Balance			
Security	Symbol	Start date	End date
-	-	-	-
Extended Period			
-	-	-	-

Remark:

1. Cash balance means each member must ensure that its customers pay the full amount in cash prior to trading.
2. Excluded from credit limit means the member must not use the security as collateral in the calculation of the customer's credit line in all types of account.

3. Prohibit Net Settlement means the member must not offset the trading value of buy amount and sell amount of a certain security on a given day. (The amount received from sale of the particular security will be credited back on the following day.)

Please note that the main factors used as criteria for the restricted securities above are the turnover ratio, the trading value and the price not corresponding with the fundamental factors such as P/E ratio, etc.